

OPTICALLY TEASING APART NEURAL SWELLING AND DEPOLARIZATION

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Abstract—We measured birefringence, 90 degree scattered light, and voltage sensitive dye changes from lobster walking leg nerves. Systematic application of key chemical agents revealed separate cellular mechanisms underlying fast optical signals. Each agent exhibited mixed effects, some having a greater effect on cellular swelling and refractive index, and some altering membrane potential. Birefringence changes were tightly correlated with voltage sensitive dye signals and were perturbed by those agents that altered membrane potential. Signals from light scattered at 90 degrees corroborated the hypothesis that large angle scattering signals arise from changes in the interstitial spaces and were perturbed by those agents that altered cellular swelling and refractive index. We conclude that multiple cellular mechanisms can be exploited to measure rapid optical signals. Since birefringence produces much larger changes than scattering, the use of polarized light might lead to improvements in imaging neural activity with high temporal resolution, especially since birefringence changes corresponded closely to membrane potential. © 2007 IBRO. Published by Elsevier Ltd. All rights reserved.

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Neuronal excitation elicits fast intrinsic optical changes in scattering and birefringence (BIR), with an initial phase closely associated with the time course of action potentials and postsynaptic potentials (Cohen et al., 1968; Tasaki et al., 1968; Cohen and Keynes, 1971; Landowne, 1985; Rector et al., 1997). Neuroscientists have been using optical signals to detect and study neural activation for decades, but the causes of these intrinsic optical phenomena remain enigmatic. A better understanding of cellular optical properties may lead to further improvements in the technology to record neural activity, both *in vitro* and *in vivo*.

The origins of optical signals are particularly elusive because their time course coincides with several physiological phenomena. Many investigators have examined possible mechanisms, linking them to mechanical (Tasaki et al., 1980; Watanabe, 1986; Yao et al., 2003),

molecular (Landowne, 1985, 1993) and electrical (Stepnoski et al., 1991) events associated with membrane excitation. Membrane protein reorientation caused by voltage or mechanical changes could produce transient tissue interactions with polarized light (Cohen et al., 1968; Tasaki et al., 1968; Landowne, 1993). Additionally, synaptic events such as vesicle fusion (Salzberg et al., 1985) and membrane unfolding may reduce intracellular scattering particles. Other studies suggest that these changes may also originate from cytoskeletal (Oldenbourg et al., 1998) and axoplasmic (Watanabe and Terakawa, 1976) events. In combination with cellular swelling, the phospholipids in the membrane bilayer may change orientation, causing BIR changes. While all of these mechanisms are probably operating at some level, there has been little consensus as to which element or combination of elements dominates these fast optical signals. BIR changes have not been measured simultaneously with scattering and membrane potential while systematically changing the extracellular constituents.

We hypothesize that cellular swelling underlies at least part of the scattering change, but may not be a primary origin for the BIR change. Since the scattering signal peak lags 1–3 ms behind the BIR peak in simultaneous lobster nerve recordings, these optical changes may be mediated by separate biophysical phenomena (Carter et al., 2004). Our earlier results suggest that at least part of the BIR change can be explained by swelling events (Yao et al., 2005); however, they do not reconcile the timing delay between BIR and scattering signals.

Simultaneous measurements of BIR and 90 degree scattered light (90S) during pharmacological and refractive index manipulations should help elucidate some of the biophysical mechanisms underlying the optical signals. Blocking voltage-dependent potassium channels should retard the recovery phase of the action potential, the flow of hydrated potassium out of the cell, and the return to baseline of any swelling-dependent optical change. Proteolytic enzymes and chemical membrane disrupters should perturb the glia surrounding the neurons, decreasing the force opposing neuronal swelling, yielding a greater volume increase and optical change. Nearly all discovered aquaporins from plants, mammals, bacteria, and insects exhibit sensitivity to mercury sulfhydryl reagents such as mercuric chloride (Agré et al., 2002; Kuwahara et al., 1997). If membrane aquaporins play a substantial role in releasing water from the cell during the recovery phase, then aquaporin blockade should delay neuronal shrinkage and the 90 degree scattering signal recovery, but not BIR signals. If swelling truly precipitates only the scattering

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Abbreviations: BIR, birefringence; dI/I, ratio of the change in light intensity measured during an action potential to the resting light intensity; DMSO, dimethyl sulfoxide; EP1, electrode position 1; EP2, electrode position 2; LED, light emitting diode; Na, K-ATPase, sodium potassium ATP; TEA, tetraethylammonium; TTX, tetrodotoxin; VSD, voltage sensitive dye; 90S, 90 degree scattered light.

change, we expect the aforementioned swelling perturbations to produce no significant changes in BIR signals. While all of these compounds produce mixed effects, their different properties should help us to separate potential optical mechanisms.

To address these hypotheses, we concurrently examined BIR and scattering changes before and after several pharmacological and refractive index manipulations. We also conducted voltage sensitive dye (VSD) experiments to compare optical signals with membrane potential for each compound. Although our eventual goal is to image neural circuitry over large tissue areas in intact animals and humans, the isolated lobster nerve preparation provided a relatively simple, controlled paradigm for probing optical signal mechanisms (Yao et al., 2005). Discerning the biophysical underpinnings of fast intrinsic optical events may greatly facilitate the development of optical techniques for the study of neural activity.

EXPERIMENTAL PROCEDURES

We recorded BIR, 90S, and VSD changes in nerves removed from the first two most rostral walking legs (30–60 mm in length and 0.5–2 mm in diameter) of lobsters, *Homarus americanus* (Sea View Lobster, Kittery, ME, USA). Each nerve was extracted using the Furusawa “pulling out” method (Furusawa, 1929) and then tied with silk sutures at both ends to prevent axoplasmic leakage. All procedures conformed to standards and protocols approved by the Washington State University Animal Care and Use Committee. We used the minimum number of animals necessary to achieve statistical significance, and by using an invertebrate model, we have minimized the number of vertebrates needed to develop optical techniques.

We placed each isolated nerve in a recording chamber flooded with a bath solution (Pantin, 1934) of 525 mM sodium chloride, 13.3 mM potassium chloride, 12.4 mM calcium chloride, 24.8 mM magnesium chloride, and enough sodium bicarbonate to achieve

pH=7.0 at room temperature, 23–25 °C (Fig. 1, Carter et al., 2004). Sodium bicarbonate buffered the bath solution to neutral pH, and dextrose (5 mM) was added to extend the life of the nerve. To ascertain the anatomical structure of the axon bundle, several nerves were fixed in formalin and stained with hematoxylin and eosin to obtain histological images of the bundle in cross-section. The chamber featured a central, rectangular well into which compounds were introduced (Fig. 1.i), and four smaller wells on either side. Each well was fitted with a silver electrode and isolated with petroleum jelly. Electrodes on one side of the imaging window delivered current pulse stimuli (Fig. 1.ii) and recorded the pre-optical window field potential [Fig. 1.iii, electrode position 1 (EP1)]. A second set of electrodes recorded the electrophysiological response after the action potentials passed through the center well [Fig. 1.iv, electrode position 2 (EP2)]. The bottom of the central well contained a narrow slit covered with a microscope slide through which the light was focused during optical recordings. A 3 mm image conduit (Schott Fiber Optics, Boston, MA, USA) embedded in the chamber's side, orthogonal to both the optic axis and the long axis of the nerve, captured the 90S (Fig. 1.v).

Light originated from a red, high-intensity light emitting diode (LED, Fig. 1a, 665 nm, 2800 mcd, 5 mm diameter, LN261CAL, Panasonic, Secaucus, NJ, USA) and passed through a visible-wavelength polarizer (Fig. 1b, VIS 4 K, Linos Photonics, Milford, MA, USA) oriented at 45 degrees with respect to the long axis of the nerve bundle. Although the d/I signals were probably wavelength independent (Cohen and Keynes, 1971), we used 665 nm light due to the availability of ultrabright LEDs at this wavelength and its tissue penetration characteristics. The LED had significant advantages over typical halogen sources including lower noise characteristics and ease of use in the system (Salzberg et al., 2005). The light was focused through the glass window in the bottom of the recording chamber (Fig. 1c) and onto the nerve bundle. The scattered light intensity was recorded with a photodiode (Fig. 1g, UDT-555UV/LN, UDT Sensors, Hawthorne, CA, USA) positioned at the end of an image conduit, 90 degrees with respect to the incident light. Since the image conduit was 3 mm in diameter, and the nerve was 1.5 mm from the image conduit, the angles included in the 90 degree scattering measurements ranged

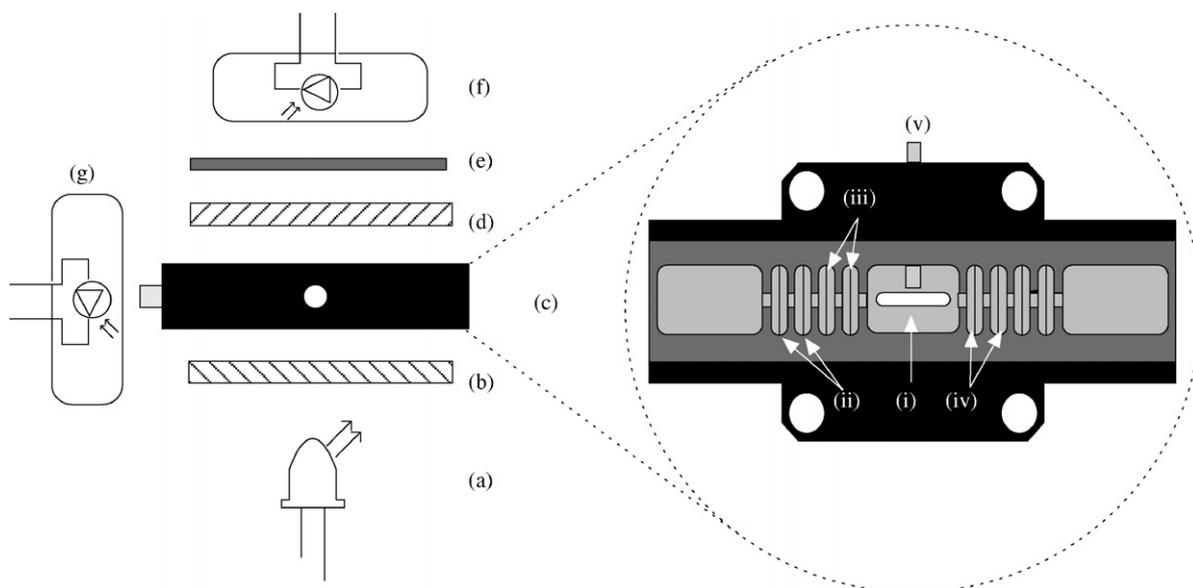


Fig. 1. Light originated from an LED (a) passed through a polarizer (b) and optical window (c.i) onto a nerve bundle. Transmitted light passed through a second crossed polarizer (d) onto a photodiode (f). An image conduit (c.v) directed 90S onto a second photodiode (g). A broad bandpass filter (e) replaced the polarizers for VSD recordings. Two electrodes pairs (c.iii and c.iv) to the right of the stimulus cathode (c.ii) recorded field potentials from the nerve.

from 45 to 135 degrees from the center of the nerve to image conduit. Transmitted light passed through a second polarizer (Fig. 1d) crossed with respect to the first. The birefringent light intensity was recorded with a second photodiode (Fig. 1f) positioned over the second polarizer. A 60 nm bandpass filter centered at 720 nm (Fig. 1e, Chroma Technology Corporation, Rockingham, VT, USA) and a green LED (520 nm, 2400 mcd, 5 mm diameter, No. 404–1093, Stanley Electric Co., Japan) replaced the polarizers and red LED during VSD recordings.

We seated each extracted nerve in the recording chamber and stimulated with 0.2 ms current pulses (~2 mA) at random intervals between 1 and 2 s (0.67 Hz average stimulation rate), using a direct current isolated stimulator (Model A365R, World Precision Instruments, Inc., Sarasota, FL, USA). A healthy nerve fired single action potentials from the largest axons, with stimulus currents under 0.2 mA. After assessing the nerve's health, we began full stimulation (~2 mA), recording transmitted and 90S intensity with the electrical response from electrodes positioned at Fig. 1.iii and Fig. 1.iv. Electrical responses were recorded with a differential amplifier (Linear Technology LT1167) and filtered between 0.1 Hz and 3.2 kHz with $\times 1000$ gain. Optical signals were filtered (0.1 Hz–3.2 kHz), amplified with a $\times 1000$ gain, and digitized simultaneously with the electrical signals, at 10 kHz per channel. We quantified BIR, scattering, and VSD responses as a ratio of the change in light intensity measured during an action potential to the resting light intensity (dI/I).

Scattering and BIR baseline recordings were averaged from the nerve submerged in normal bath solution. Then, half of the solution was drawn out and replaced with an altered solution. Prior to the addition of each compound, we recorded a baseline average of 50–150 triggers. After adding a compound, we continued to collect data, averaging every 50 stimuli until the nerve no longer exhibited optical or electrical activity. For tetrodotoxin (TTX) trials, all of the toxin-containing solution was drawn out of the chamber, washed twice, and replaced with normal bath solution to see if the action potentials and optical signals would recover in the absence of the sodium channel inhibitor. We recorded VSD (RH795, Invitrogen, Eugene, OR, USA) signals in separate experiments to investigate transmembrane potential changes. Nerves were bathed in 0.2 mg/ml RH795 for 10 min and washed twice with the bath solution prior to recording. We compared conduction velocities for compounds that altered the propagation speed of the action potential volleys by dividing the displacement between EP1 and EP2 by the time delay between the electrical signal peaks to obtain pre- and post-compound propagation speeds. Changes in propagation velocity, signal amplitude, and recovery latency were compared with a paired Student's *t*-test.

We selected each compound to interfere with specific conditions or events instrumental to neuronal signal conduction. TTX (Sigma-Aldrich, St. Louis, MO, USA), a poison that inhibits voltage-gated sodium channels (Narahashi et al., 1964; Mosher, 1986), was diluted in bath solution to final concentrations between 10 and 100 nM. Tetraethylammonium chloride (TEA, Sigma-Aldrich), which blocks voltage-gated potassium channels (Tasaki and Hagiwara, 1957; Hille, 1967) and possibly membrane aquaporins (Brooks et al., 2000), was applied in concentrations ranging from 50 to 250 mM. Dimethyl sulfoxide (DMSO, Sigma-Aldrich), a water-miscible liquid membrane disrupter (Lee et al., 2004), was added to the bath solution at concentrations varying between 1% and 10% by volume. We introduced protease from *Streptomyces griseus* (Sigma-Aldrich) to disrupt the fascicles encasing the nerves. The protease solutions were viscous and difficult to introduce to the nerve preparation via pipette, so solid state protease powder was instead applied to the bundle until the concentration of protease in solution reached 1–10 mg/ml. Ouabain (Fluka Chemie GmbH, Buchs, Switzerland), a sodium potassium ATP (Na,K-ATPase) inhibitor (Schwartz et al., 1975), was introduced in concentrations ranging from 1 to 10 mM.

We performed a series of control experiments for the changes in pH, osmolality and refractive index produced by the high concentration of the variable chemicals. As it is difficult to find a cation replacement that does not alter ion channels at 250 mM, we separately tested the effects of increased refractive index with 5%–10% dextran (Chemalog, South Plainfield, NJ, USA) and osmolality with 250 mM NaCl. To further clarify the effects of increased osmolality and refractive index, we conducted experiments with both 250 mM and 500 mM mannose (Sigma-Aldrich) solutions. In order to test the potential effects of pH changes in the bath solution, we also recorded responses at pH 6.0 and pH 8.0. For each bath solution, we measured the osmolality with an osmometer, pH (Bromothymol Blue indicator with an Ocean Optics spectrophotometer), and refractive index with a refractometer.

RESULTS

Baseline recordings featured BIR response amplitudes between -1×10^{-4} and -3×10^{-4} . Scattering signals exhibited amplitudes at least an order of magnitude smaller than the BIR change. BIR, scattered light, and VSD signals peaked 5–15 ms after each current pulse, and both birefringent and scattered light intensities returned to baseline within 30 ms, well before subsequent stimuli. BIR and 90S signals sometimes overshoot baseline during the falling phase before returning to resting intensity. Healthy, unpoisoned nerves produced 1000–2000 consistent compound action potential volleys over a 1-h period; however, VSD nerves deteriorated at a faster rate due to dye phototoxic properties (Pooler, 1972; Oxford et al., 1977).

The unaltered bath solution had an osmolality of 1105 mmol/kg and a refractive index of 1.339. BIR resting light intensity ranged from 1.21–3.04 μ W with peak signal amplitudes ranging from 221 to 995 pW during stimulation. Scattering featured resting intensities from 1.91–9.51 μ W with peak excitation changes ranging from 5.53–77.3 pW. VSD resting intensities ranged from 216 to 376 nW with peak signal changes of 12.1–147 pW. DMSO and TEA significantly decreased the BIR resting light intensity. No significant changes in the 90S or VSD resting intensity were observed with any of the chemicals.

TTX

TTX abolished action potential propagation, BIR and 90S signals within 150–200 stimuli (Fig. 2). The electrical potential recorded downstream of the toxin application site (EP2) degraded rapidly, while the field potential recorded between the stimulus site and the toxin-treated segment (EP1) decayed slowly (Fig. 2). Removal and washing of TTX from the nerve resulted in a small rebound in both electrical and optical events (data not shown).

TEA

TEA application affected the BIR and 90S signals differently depending on the concentration. TEA at 250 mM increased the osmolality (+404 mmol/kg) and refractive index (+0.005) of the bath solution, decreased the BIR resting light intensity (I) by 0.61 μ W, and increased dI by 81.7 pW, producing a one third net increase in dI/I BIR signal peak (Fig. 3). A pronounced secondary peak developed 20 ms after the initial peak (Fig. 3). The EP2 signal

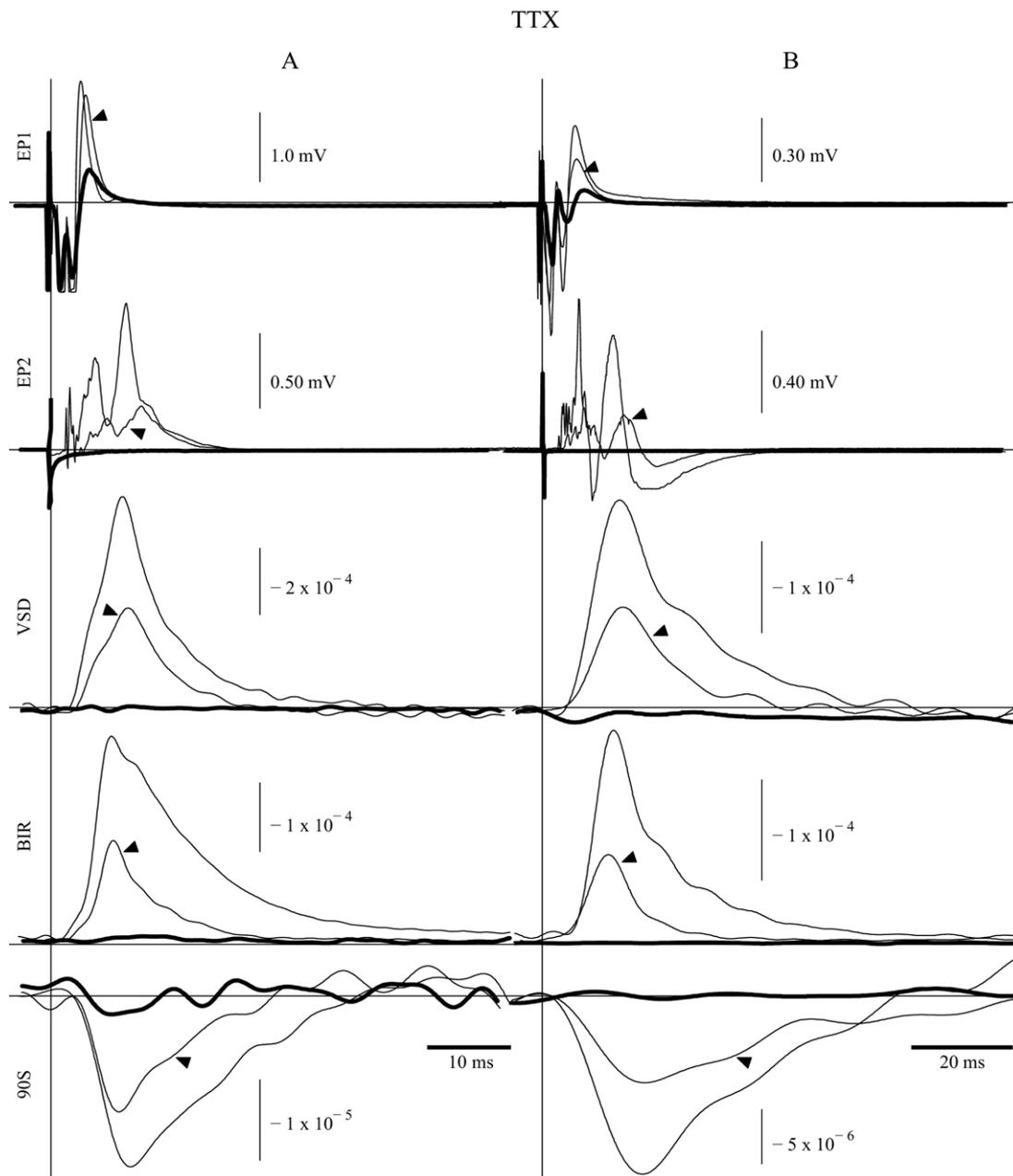


Fig. 2. EP1 field potential, EP2 field potential, VSD, BIR, and 90S fluctuations recorded during activation. The vertical lines indicate the time of stimulus for two experiment sets (A and B), and each trace contains 100 averages. Recordings were taken before (thin traces), immediately after (arrowed traces), and 2 min after (thick traces) 20 nM TTX introduction. Traces EP1, EP2, BIR, and 90S were recorded simultaneously, while VSD signals were collected during separate experiments.

decreased in amplitude, and expanded its time course, recovering to resting potential 200 ms after the stimulus (especially apparent in Fig. 3B). The shape of the secondary BIR peak corresponded in shape and time course to the field potential change recorded at EP2. The initial 90S component showed a delayed recovery (Fig. 3, 90S after the horizontal bars), and then overshoot resting intensity for >0.5 s. With 100 mM TEA, the BIR signals behaved like the 250 mM signals, except that the maximum BIR to amplitude was reached after 1–3 min of continuous TEA expo-

sure. 90S signals at 100 mM also overshoot resting intensity for 0.5s during the late recovery phase, while the initial component maintained its pre-TEA time course and intensity. At 50 mM TEA, the falling phase of the BIR trace exhibited a slight (5–10 ms) delay in its recovery to resting intensity, and changes in the 90S signal initially followed those produced by 100 mM TEA. After 2–5 min of continuous exposure to 50 mM TEA, the 90S initial component maintained its amplitude and time course, but the late overshoot disappeared. For all concentrations tested, the VSD record-

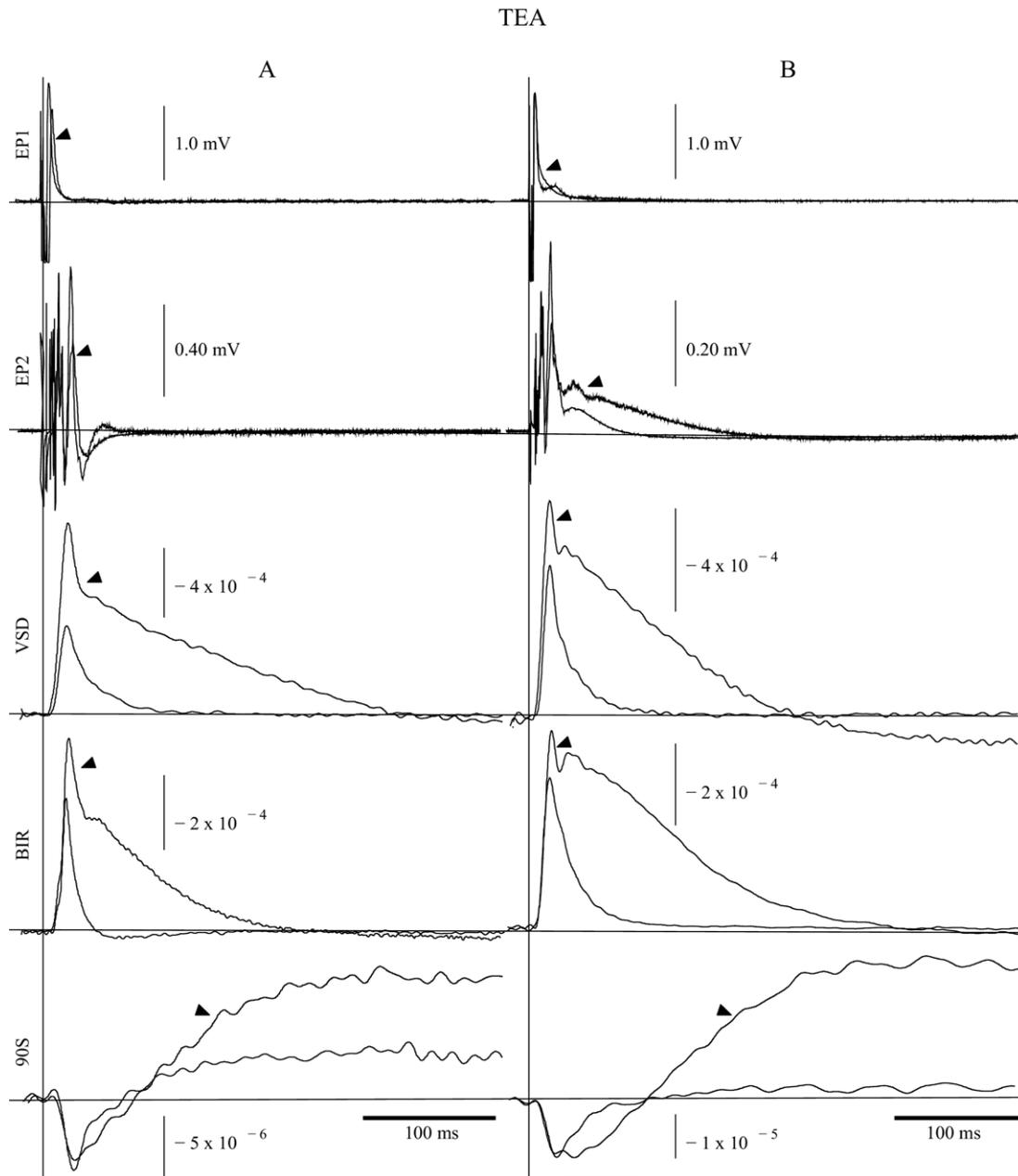


Fig. 3. EP1 field potential, EP2 field potential, VSD, BIR, and 90S recordings taken before (thin traces) and after (arrowed traces) 250 mM TEA application. The vertical lines indicate the time of stimulus for two experiment sets (A and B), and each trace contains 100 averages. Horizontal bars beneath the 90S traces underline the initial phase; all time to the right of the bars is referred to as the later phase. EP1, EP2, BIR, and 90S were recorded simultaneously, while the VSD recordings represent separate experiments.

ing increased in amplitude and developed a late secondary peak in a manner similar to the BIR signal (Fig. 3).

DMSO

Immediately following DMSO application, many spontaneous action potentials appeared in EP1 and EP2. DMSO delayed the 90S signal recovery to resting intensity, and increased the BIR and VSD signal amplitudes by 25–50% within the first 50 stimuli (Fig. 4). With continued DMSO exposure, the BIR signal then degraded to pre-DMSO

amplitude over the next 30–50 stimuli. The greatest BIR amplitude increase occurred with 10% DMSO (Fig. 4). DMSO slowed action potential propagation velocities by $35.8 \pm 3.44\%$ measured at EP2, and significantly slowed and elongated the optical signal time courses, delaying the 90S, BIR, and VSD signal peaks and recovery to resting intensity (Fig. 4). The falling phase of the BIR and VSD signals lengthened from 30 ms in the pre-DMSO recording to 300 ms after 2 min of continuous exposure (Fig. 4). A 0.5–1.0s delay in the 90S recovery to resting appeared

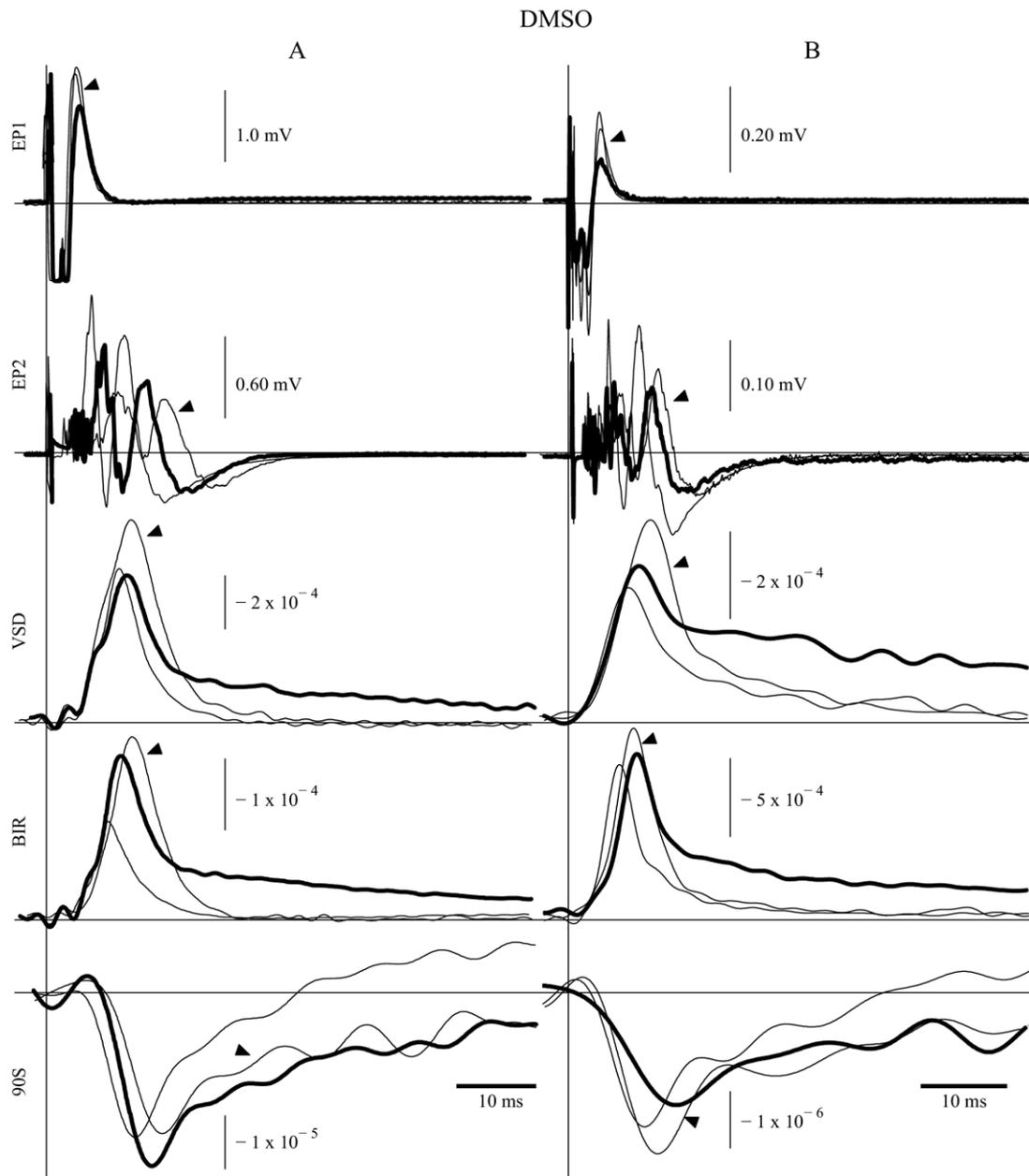


Fig. 4. EP1 field potential, EP2 field potential, VSD, BIR, and 90S taken before (thin traces), immediately after (arrowed traces), and 2 min after (thick traces) 10% DMSO application. The vertical lines indicate the time of stimulus for two experiment sets (A and B), and each trace contains 100 averages. EP1, EP2, BIR, and 90S were recorded simultaneously, while the VSD recordings represent separate experiments.

immediately after DMSO addition. Both electrical and optical signals decreased faster than control preparations with DMSO, decreasing to one-half the original EP2 amplitude in 300 averages in contrast with 500–700 stimuli for non-DMSO experiments. DMSO increased the osmolality (+1583 mmol/kg) and refractive index (+0.014) of the bath solution, decreased the BIR resting light intensity (I) by $1.25 \mu\text{W}$, and decreased the change in intensity (dI) by 97.2 pW, indicating that baseline intensity (I) must have decreased more than dI to result in an increased dI/I.

Ouabain

Spontaneous action potentials (not associated with the stimulus) appeared immediately after 1–5 mM ouabain addition (similar to DMSO). Ouabain increased VSD and BIR signal amplitudes by 10–30% (Fig. 5). Ouabain also increased both the amplitude and integral of the BIR and VSD traces. Ouabain delayed BIR, 90S, and VSD peaks (Fig. 5) in addition to slowing the time course of action potential volleys recorded at EP2 by $27.3 \pm 2.74\%$.

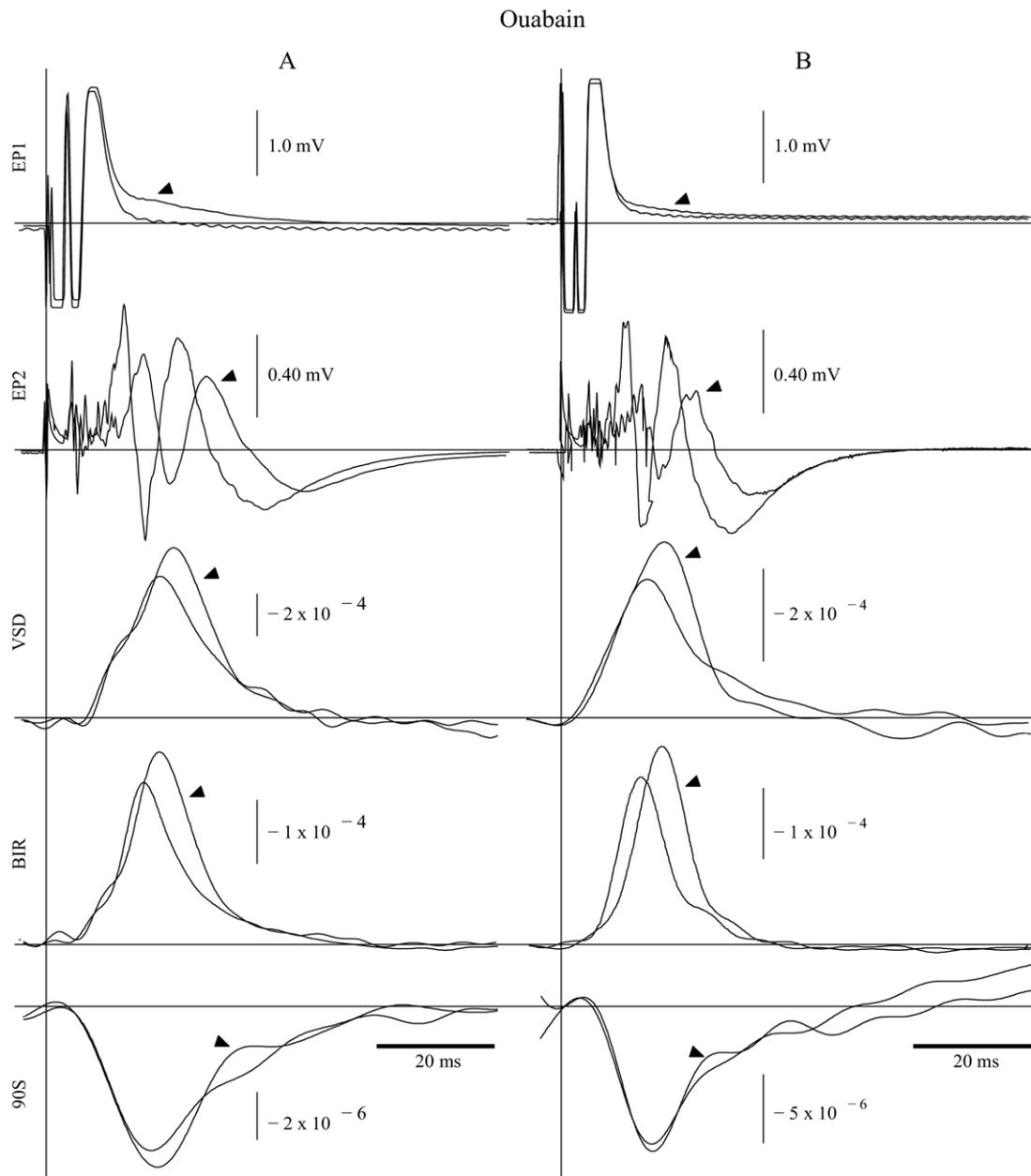


Fig. 5. EP1 field potential, EP2 field potential, VSD, BIR, and 90S taken before (thin traces) and immediately after (arrowed traces) 5 mM (set A) and 1 mM (set B) ouabain application. The vertical lines indicate the time of stimulus for two experiment sets (A and B), and each trace contains 100 averages. EP1, EP2, BIR, and 90S were recorded simultaneously, while the VSD recordings represent separate experiments.

Protease

Protease produced no significant changes in BIR, 90S, or electrophysiological signal amplitude or time course. The signals degraded more quickly than control signals due to the protease effects. Protease disrupted the bundle's neuronal and glial integrity with more destructive results than DMSO. We originally hypothesized that swelling-mediated optical signals would increase with protease as the glial sheaths dissolved, decreasing the pressure on the neurons. However, protease seemed to arbitrarily dissolve neuronal and glial membranes, accelerating optical and

electrical signal degradation and producing little insight on possible mechanisms.

Mercuric chloride

Mercuric chloride caused no changes with respect to pre-toxin recordings at low concentrations (0.5–50 μM), and accelerated both optical and electrical signal decay at higher concentrations (250–500 μM) in a manner similar to TTX. Mercuric chloride's neurotoxic effects (Mitchell and Gallagher, 1980) took precedence over aquaporin blocking

functions. Thus, intrinsic optical effects died away before observing any consequences of aquaporin blockade.

Controls

Control experiments at pH=6.0 and 8.0 yielded no significant optical or electrical changes over recordings at pH=7.0. Sodium chloride and mannose at 250 mM both elicited a decrease in the BIR signal amplitude (29–38%, Fig. 6), which was similar to normal deterioration of the optical signal amplitude over time. Although it is likely that the high level of NaCl in the outer bath contributed to signal degradation by depolarizing the membrane, the decrease

was not significantly different from the baseline deterioration of nerves bathed in normal solution. Dextran (5–10%) had no immediate effects, but prevented the recovery of BIR and 90S traces 10 min after application (Fig. 6). Mannose (250 mM) increased the refractive index similar to 5% dextran and 250 mM TEA (+0.006), but produced only half the change in osmolality (+223 mmol/kg). Mannose at 500 mM matched the osmolality increase produced with 250 mM TEA (+473 mmol/kg), but doubled the increase in refractive index (+0.012) similar to 10% dextran.

Table 1 displays a summary across all nerves for each observation described above.

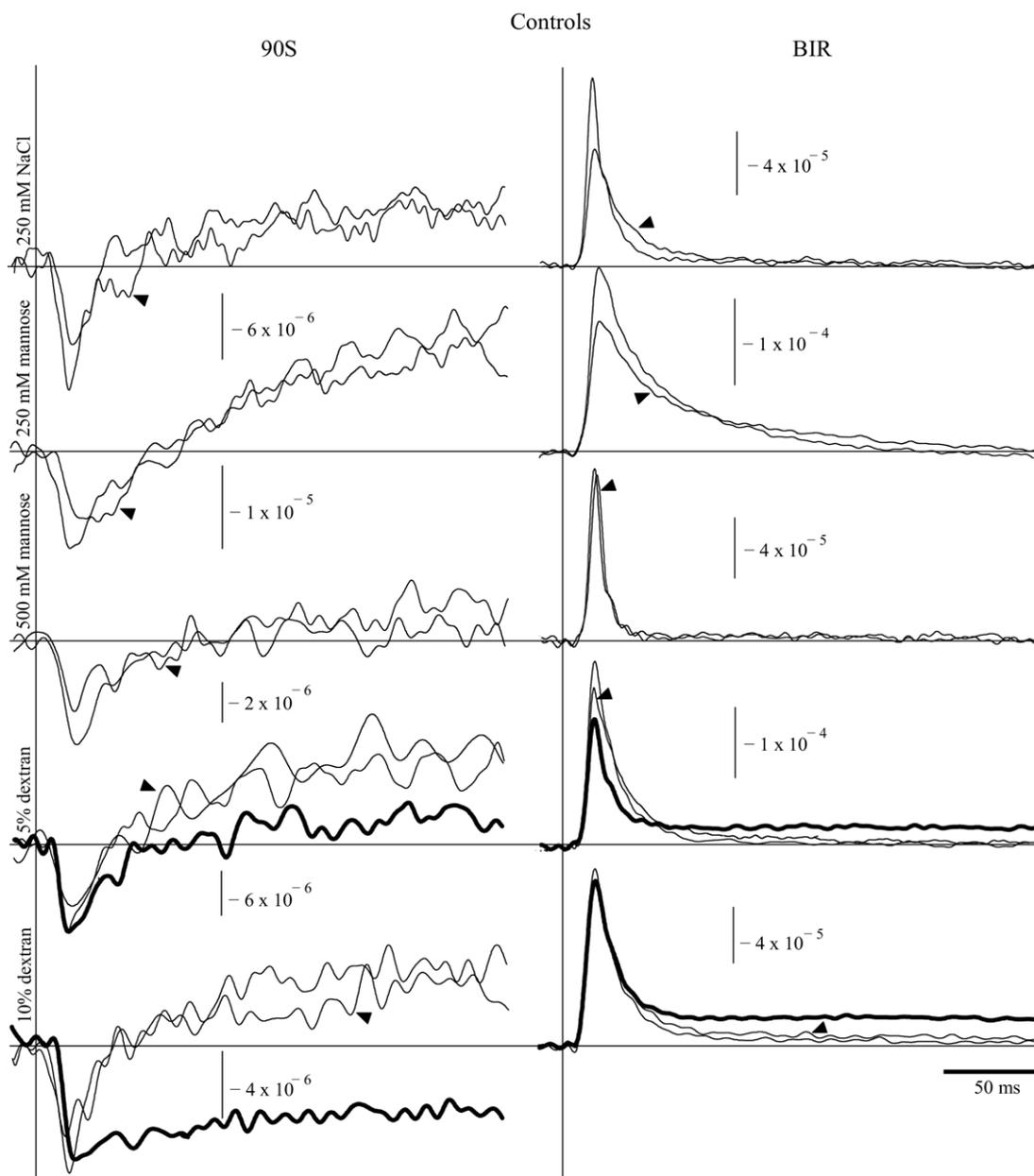


Fig. 6. 90S (Left column) and BIR (right column) signals taken before (thin traces), immediately after (arrowed traces), and 10 min after (dextran only, thick traces) application of 250 mM NaCl, 250 mM mannose, 500 mM mannose, 5% dextran, and 10% dextran. The vertical lines indicate the time of stimulus, and each trace contains 50–100 averages.

Table 1. Changes in VSD, BIR, and 90S signals with pharmacological manipulations

Toxin	Probe	Observation	Pre-toxin		Post-toxin		n	P-value
			Mean	s.d.	Mean	s.d.		
TEA (250 mM)	VSD	Amplitude increase (dI/I × 10 ⁻⁴)	4.50	1.06	7.73	0.848	4	0.007
		Delayed recovery (ms)	115	17.5	258	27.4	4	0.0002
	BIR	Amplitude increase (dI/I × 10 ⁻⁴)	2.51	0.889	3.57	1.02	4	0.001
		Delayed recovery (ms)	83.0	43.5	243	50.6	4	0.0001
		Resting intensity decrease (μW)	2.68	0.13	2.07	0.24	3	0.010
90S	Long-lasting overshoot (dI/I × 10 ⁻⁴ , t=0.5 s)	0.005	0.013	0.183	0.142	4	0.093	
DMSO (10%)	VSD	Amplitude increase (dI/I × 10 ⁻⁴)	3.68	1.50	4.87	2.11	3	0.107
		Delayed recovery (dI/I × 10 ⁻⁴ , t=0.1 s)	-0.026	0.131	0.892	0.572	3	0.085
		Peak delay (ms)	32.5	0.430	35.0	0.921	3	0.048
	BIR	Amplitude increase (dI/I × 10 ⁻⁴)	2.26	0.446	3.37	1.20	4	0.094
		Delayed recovery (dI/I × 10 ⁻⁴ , t=0.2 s)	0.068	0.037	0.282	0.111	4	0.014
		Peak delay (ms)	31.7	0.612	35.9	2.28	4	0.017
	90S	Resting intensity decrease (μW)	2.70	0.22	1.45	0.54	3	0.008
90S	Delayed recovery (dI/I × 10 ⁻⁴ , t=0.2 s)	0.033	0.028	-0.022	0.012	4	0.016	
90S	Peak delay (ms)	35.8	1.74	40.2	1.63	4	0.010	
Ouabain (1–5 mM)	VSD	Amplitude increase (dI/I × 10 ⁻⁴)	2.83	0.920	3.49	1.20	3	0.060
		Peak delay (ms)	34.5	0.680	36.7	0.440	3	0.053
	BIR	Amplitude increase (dI/I × 10 ⁻⁴)	2.10	0.304	2.46	0.419	5	0.004
		Peak delay (ms)	32.6	0.293	35.74	0.541	5	0.0001
Dextran (10%)	BIR	Delayed recovery (dI/I × 10 ⁻⁴ , t=0.15 s)	0.007	0.010	0.088	0.036	3	0.034
		Resting intensity decrease (μW)	2.48	0.173	1.96	0.152	3	0.002
	90S	Delayed recovery (dI/I × 10 ⁻⁴ , t=0.3 s)	0.084	0.009	-0.032	0.013	3	0.010
NaCl (250 mM)	BIR	Delayed recovery (dI/I × 10 ⁻⁴ , t=0.05 s)	0.135	0.015	0.232	0.050	3	0.040

P values indicate the outcome of a paired Student's *t*-test for each comparison.

DISCUSSION

Pharmacological manipulations induced changes in the BIR signals that followed membrane potential, bearing a striking resemblance to the VSD measurements. In contrast, 90S signals reacted to each compound in a manner consistent with the cellular swelling and changes in refractive index in the peri-fascicular spaces. TEA, however, produced a long lasting overshoot in the 90S signal, not explained by the simple cellular swelling interpretation. Each agent exhibited mixed effects on cellular swelling, refractive index, and membrane potential. The cellular swelling and refractive index components of the agents dramatically altered 90S signals while membrane potential components changed the VSD and BIR signals. Our results showed that while large angle scattering signals (90S) arose from changes in the interstitial spaces, BIR signals closely followed changes in the membrane potential and were minimally affected by cellular swelling mechanisms. Significant variations in the time course of optical and electrical signals (for example, see sections A and B, Fig. 2) resulted from typical variability from nerve to nerve, perhaps related to differences in axon and bundle diameter and the health of the nerve.

TTX

TTX abolished downstream electrical signals while minimally degrading signal size prior to the toxin window, confirming that TTX did not inhibit generation but rather propagation of the action potential. Slow degradation of the upstream signal occurred as TTX diffused along the outer

fascicle layer throughout the petroleum-jelly-isolated wells. The TTX results confirm previous studies (Cohen et al., 1968; Cohen and Keynes, 1971) and illustrate the relationship between sodium channels and neural activation, but provide little insight into the mechanisms mediating the BIR and 90S signals.

TEA

TEA caused the BIR signal amplitude to increase in all nerves recorded, similar to the RH795 potential measurement. Because TEA is expected to decrease potassium conductance, it is likely that the action potential amplitude increased as membrane potential approached the sodium ion Nernst potential more readily than under normal conditions, resulting in a larger amplitude. The increase in the BIR and VSD signals could also be related to the summation of broadened spikes. TEA immediately and significantly delayed the BIR signal and voltage recovery, but did not affect the initial rising phase, which suggests that inhibiting the potassium ion efflux retarded the recovery of the membrane potential and BIR signals, but did not affect sodium-mediated depolarization. TEA's different effects on the early and late components of the optical signals suggest that modifications of voltage and current dependent components took place. However, since we could not perform voltage or current clamping in this preparation, we could not separate the two effects.

The early phases of the 90S signal appeared immune to changes in amplitude after adding TEA, suggesting that the early components of the swelling changes were not

affected by a lack of potassium efflux. TEA caused a significant reversal in the late component of the 90S signal that was long lasting, consistent with Cohen et al.'s (1972) experiments. Since TEA may also block aquaporins, a significant route through which water can escape during the recovery phase is also blocked. Thus, we would expect aquaporin blockade might retard cell shrinkage, delaying cell volume and the 90S signals. However, we observed the opposite, which could be interpreted as a dramatic and long lasting shrinkage of the cells, or could also mean that part of the 90S signal is not as closely related to cellular swelling as initially hypothesized by Yao et al. (2005).

DMSO

The appearance of random action potentials in the extracellular electrical recordings suggests that DMSO caused electrical instability by disrupting membrane phospholipids or that membrane potential drifted closer to threshold, facilitating spontaneous activation. The latter hypothesis may imply a decrease in potassium conductance, which would depolarize the membrane, increase sodium channel inactivation, and slow propagation velocity. Indeed, the $35.8 \pm 3.44\%$ velocity decrease measured in our nerves agrees with a 40% decrease measured by Evans et al. (1993) in unmyelinated C fibers. The increased amplitude of the BIR and VSD signals may arise from increased action potential amplitudes. Decreased potassium conductance could elicit increased amplitudes by driving the membrane potential closer to the sodium reversal potential than under control conditions.

Because the 90S signal failed to exhibit the potential-dependent amplitude increase, it is likely that the 90S late component arose from a mechanism not directly related to membrane potential, such as loosening of the membrane from its actin anchoring or trapping of hydrated potassium ions within the cell (Sanger et al., 1980; Vincent et al., 1990). Both proposed mechanisms predict an increase in activation-related cellular swelling. In contrast, both VSD and BIR late components began as brief extensions (~20 ms) and then expanded to 300 ms after 1 min in the presence of DMSO. This may reflect a progressively decreasing potassium conductance as the channels fail to pass the potassium ions modified by DMSO (Mayer and Avi-Dor, 1970; Sawada and Sato, 1975). The similar shape and time course of the BIR and VSD signals ties BIR to membrane-potential-altering mechanisms. Differences in the 90S change, again, support a swelling mechanism.

Ouabain

The appearance of random action potentials after ouabain addition suggests that the membrane potential may have been depolarized by the inhibition of the electrogenic sodium pump, an effect also noted by Kaji and Sumner (1989) in demyelinated peripheral fibers. The elevated membrane potential should increase sodium channel inactivation (Cohen and Landowne, 1973) and could also explain the 27% decrease in conduction velocity. Additionally, since the membrane potential is slightly depolarized, the axons may be more excitable, resulting in the recruit-

ment of more axons during stimulation. This may explain the increased VSD and BIR signal amplitudes.

Other factors besides membrane potential could contribute to part of the VSD signal. Fedosova et al. (1995) observed that several RH dyes, all proven indicators of membrane potential, exhibited unique responses to ouabain binding and phosphorylation states of Na, K-ATPases. This implies that the styryl dyes may respond to other changes not strictly related to the membrane dielectric, such as electrostatic interactions or ouabain-induced conformation changes in the ATPase (Fedosova et al., 1995). Because VSDs track changes in membrane potential on a sub-millisecond time scale (Loew et al., 1985), much of the initial response is probably related to membrane potential. However, the bulk of the VSD changes observed here lasted several tens of milliseconds, a long enough time period for protein conformation effects to be integrated into the observed VSD signal. Since BIR closely tracked VSD signals, we consider that these optical changes may emerge from a combination of modifications in the membrane dielectric and changes in membrane protein conformation states.

Controls

We hypothesize that the difference between mannose and dextran is related to their diffusion properties, and the origins of the optical changes. The 90S dextran results corroborate data reported by Cohen and Keynes (1971), who hypothesized that much of the 90S optical signal arises from changes in the peri-axonal space; however, our results suggest that a large fraction of the 90S signal arises from peri-fascicular spaces. Each nerve consists of an outer glial sheath containing hundreds of axons, which are grouped into sheath encased fascicles. Thus, there are three extracellular compartments: an inner compartment between the axons and within the fascicle (peri-axonal), a peri-fascicular compartment between the fascicle and the outer sheath, and the outer bath solution (Fig. 7). Mannose, a small molecule (mw=180.2 g/mol) in comparison with dextran (mw=32,000–48,000 g/mol), may have diffused through both the outer and inner sheaths, entering the peri-axonal space and equalizing the refractive index between the peri-fascicular and the peri-axonal spaces. Dextran's lack of immediate effects may be attributed to its large size and slowed diffusion through the outer sheath (Fig. 6). The effects at $t=10$ min may have arisen as dextran diffused from outside of the bundle into the peri-fascicular space, setting up a refractive index difference between the peri-fascicular space and peri-axonal space, bringing about the later changes (Fig. 6). Aside from normal deterioration of the nerves, solutions with a 250 mM excess of NaCl had no immediate effects on the optical signals in agreement with Cohen and Keynes's (1971) hypertonicity experiments.

Since 250 mM TEA produced an increase in refractive index comparable to that of 5% dextran (+0.006), we might expect that the changes under TEA are due in part to the refractive index changes. However, TEA produced immediate effects on the optical signals (Fig. 3), and dex-

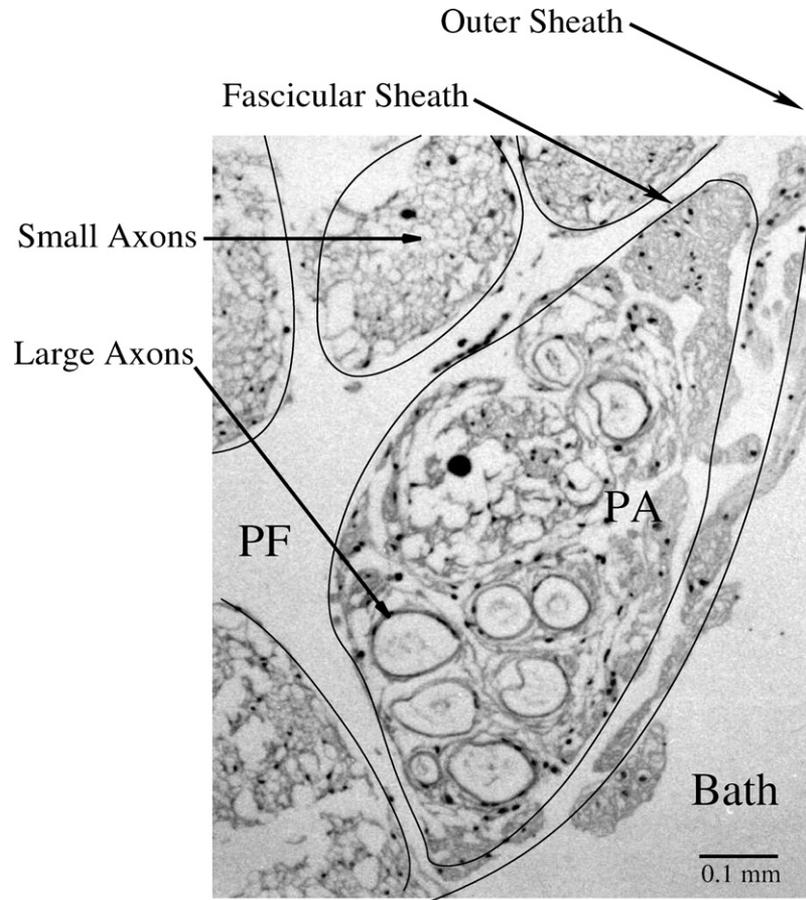


Fig. 7. A cross-sectional hematoxylin and eosin stain of one corner of a lobster nerve shows a section of the outer sheath and three extracellular compartments including the outer bath (Bath), the peri-fascicular space (PF), separated from the bath by the outer sheath, and the peri-axonal space (PA), separated from the peri-fascicular space by the fascicular sheath, which houses large and small axons. Note the large area represented by the peri-fascicular spaces compared with the peri-axonal spaces.

tran had no immediate effects (Fig. 6), suggesting that the immediate amplitude increase and peak delay after TEA application did not arise entirely from increased refractive index. In addition, TEA and dextran elicited different changes in the optical signals. For instance, TEA increased the peak amplitude of the BIR signal, and dextran did not. TEA also produced a long-lasting, positive going overshoot, which was opposite of the delayed recovery in seen in the 90S signal with dextran. Taking into consideration the mannose, sodium chloride, and dextran results, we conclude that the effects of TEA were separate from those elicited by changes in refractive index and osmolality. The delay of the 90S signal return to baseline with DMSO may be attributed to the refractive index of the bath solution; however, since DMSO has rapid diffusion characteristics (similar to mannose), we expect that DMSO penetrated outer and inner sheaths, distributing through peri-fascicular and axonal spaces, and minimizing changes in the optical signals due to refractive index. Since both BIR and VSD signals simultaneously developed a delay to resting potential, the majority of this change likely arose from the effects of DMSO on membrane potential rather than increased refractive index.

CONCLUSIONS

These experiments spawn several valuable insights and questions into fast optical signals. In all cases tested, BIR changes tracked VSD signals in both shape and time course. Thus, the mechanisms that alter nerve BIR are directly associated with membrane potential, and we have not been able to separate the two processes. This observation is not expected since BIR should arise from molecular events while membrane potential is an electrical phenomenon. Although VSD and BIR measurements are both reportedly potential dependent (Cohen et al., 1972, 1974), we suspect that endogenous scattering events could confound a small portion of these signals and cause some fluctuations that are not directly related to membrane potential. For example, the enigmatic increase in these optical signals with ouabain implies that both electrical and molecular (i.e. protein conformational states) effects may contribute to BIR and VSD signals. The 90S change, typically attributed to cellular swelling, developed a long-lasting reversal with TEA. This provocative result implies that cellular mechanisms other than swelling, refractive index and polarization could generate

optical scattering signals, which may be used to optimize *in vivo* recording techniques.

Many non-specific scattering events in tissue cause optical changes and contribute to background light and physiological noise. Any method that reduces the amount of background light not altered by neural activation will increase the contrast for observing such changes. The rapid scattered light signal is particularly vulnerable to being obscured by physiological noise as it is much smaller than VSD signals in cortex. As demonstrated in this study, BIR signals present a much clearer picture of neuronal excitation than large angle scattering measurements because a larger fraction of the BIR change evolves directly from membrane potential and activation-related conformation states, significantly reducing nonspecific light and physiological noise.

Fast intrinsic optical signals have the capacity to improve brain imaging because of their potential for high temporal and spatial resolution. However, applying these optical probes to *in vivo* tissues using absorbance or back-scattering changes currently requires hundreds of averages because the signals are significantly smaller than their hemodynamic correlates (Rector et al., 2005; Gratton and Fabiani, 2001; Steinbrink et al., 2000). While we still have a long way to go before BIR measurements are practical *in vivo*, single pass measurements are relatively easy in *in vitro* crustacean nerve preparations (Carter et al., 2004; Cohen et al., 1970; Foust et al., 2005; Stepnoski et al., 1991).

The orientation-specific nature of the BIR signal may seem to preclude its application in randomly oriented neural tissues. Techniques such as collecting all polar angles of the Mueller matrix could be used to measure BIR changes at all angles of random fiber orientation (Bereznyy and Dogariu, 2004). The Stokes vector/Mueller matrix approach, a technique for characterizing the optical parameters of a medium, can be employed by measuring the polarization states of light scattered for each polarization state of incident light. By comparing Stokes vectors from active and inactive tissue, we could potentially characterize changes in BIR. This strategy could be tested by wrapping the lobster nerve into a loop over the detector window. Furthermore, the brain houses several specifically oriented fiber tracts; the superior longitudinal and arcuate fasciculi, and the short association fibers, all share a predominantly rostral/caudal orientation. Even though only a small fraction of the incident light is usefully scattered and picked up by the detector at the depth of these fiber tracts, valuable information may still be extracted from this small amount of light. The use of polarization optics will help to exclude nonspecific light. For example, polarization optics might be used to detect minute changes in optical rotation as a measure of blood glucose concentration (Manhas et al., 2006). Additionally, near infrared wavelengths can penetrate deeper into tissue than visible light (Eggert and Blazek, 1987). Several neuronal structures such as phospholipids, vesicles, and cytoskeletal components are also birefringent, and may exist in particular orientations in the cortex. Experiments in which we measure evoked re-

sponse potentials in rat cortex with polarized light are currently under way. Clarifying the biophysical processes causing these signals will facilitate efforts to adapt the BIR recording system to *in vivo* tissue.

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